

Docket No. 239570US25CONT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Kimberly A ANDERSON, et al.

SERIAL NO: New Application

GAU: 3736 (Anticipated)

FILED: Herewith

EXAMINER: Gilbert (Anticipated)

FOR: SURGICAL INSTRUMENT AND METHOD

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were filed and/or cited in parent application S. N. 09/917,445, filed on 7/27/01, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



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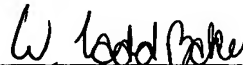
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**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date if Appropriate
	3,054,406	09/18/62	Usher			
	3,124,136	03/10/64	Usher			
	3,182,662	05/11/65	Shirodkar			
	3,384,073	05/21/68	Van winkle, Jr.			
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	3,798,828	03/26/74	Schulte			
	4,509,516	04/09/85	Richmond			
	4,857,041	08/15/89	Annis et al.			
	5,013,292	05/07/91	Lemay			
	5,019,032	05/28/09	Robertson			
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	5,337,736	08/16/94	Reddy			
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FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	SubClass	Translation	
							Yes	No
		00/18319	04/16/00	WO				
		00/57812	10/05/00	WO				
		00/74594 A1	12/14/00	WO				
		00/74613 A1	12/14/00	WO				
		00/74633 A2	12/14/00	WO				
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				Applicant(s): Anderson et al.				
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	Applicant(s): Anderson, et al.	
	Filing Date: Herewith	Group: 3736 (Anticipated)

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				Applicant(s): <b>Anderson et al.</b>			
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			Applicant(s): <b>Anderson et al.</b>	
			Filing Date: <b>Herewith</b>	Group: <b>3736 (Anticipated)</b>
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EXAMINER			Date Considered	
*Examiner: Initial if reference considered, whether or not citations in conformance with MPEP 609; draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant. Based on Form PTO-FB-A820 (also form PTO-1449) Patent and Trademark Office. U.S. Department of Commerce				